

Applicant: Kensil *et al.*

Serial No.: 07/573,268

Filed: August 27, 1990

Now Pat. No: 5,057,540

Issued: October 15, 1991

For: Saponin Adjuvant



Due Date: None

Docket: 0614.0350002

Atty: SLF

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

- 1) PTO Transmittal Letter;
- 2) Request for Refund;
- 3) Exhibit 1; and
- 4) Exhibit 2.

**ATTENTION: REFUND SECTION**

**STERNE, KESSLER, GOLDSTEIN & FOX**

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WRITER'S DIRECT NUMBER:

**FILE COPY**

December 12, 1994

**ATTN: REFUND SECTION**

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Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Re: U.S. Patent No. 5,057,540  
Issued: October 15, 1991  
Previously Appl. No. 07/573,268; filed August 27, 1990  
For: **Saponin Adjuvant**  
Inventors: **Kensil *et al.***  
Our Ref: 0614.0350002/SLF (formerly 0614.0690001)

Dear Sir:

The following documents are submitted herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1) Request for Refund;
- 2) Exhibit 1;
- 3) Exhibit 2;
- 4) Post card.

It is respectfully requested that the attached postpaid post card be stamped with the date of filing of these documents, and that it be returned as soon as possible.

STERNE, KESSLER, GOLDSTEIN & FOX

Commissioner of Patents and Trademarks


December 12, 1994

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The Commissioner is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX

A handwritten signature in black ink, appearing to read 'Samuel L. Fox' with a stylized flourish at the end.

Samuel L. Fox  
Attorney for Patentee  
Registration No. 30,353

SLF:mbm  
Encls.

F27-23.MBM

Due Date: None

Applicant: Kensil *et al.*

Serial No.: 07/573,268

Filed: August 27, 1990

Docket: 0614.0350002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

KENSIL *et al.*

Appl. No.: 07/573,268

Filed: August 27, 1990

Now: U.S. Patent No. 5,057,540

Issued: Oct. 15, 1991

For: Saponin Adjuvant

Art Unit: 183

Examiner: Carson, N.

Atty Docket: 0614.0350002/SLF

(formerly 0614.0690001)

**FILE COPY**

**Request for Refund**

**ATTENTION: REFUND SECTION**

Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

Upon review of the file of the above-referenced patent for payment of the 3.5 year maintenance fee, it has come to the attention of the undersigned that the Request for Refund filed with the U. S. Patent and Trademark Office (PTO) was never acted on in this case. The Request for Refund and Verified Statement Claiming Small Entity Status was filed with the PTO on October 2, 1990, which is within two months of the date (August 27, 1990) the full fee was paid. Our Accounting Department has checked its records from October 1990 to December 1992 and did not find any indication that a credit was given by the PTO for this case. Therefore, the undersigned requests that a refund of \$550.00 be credited to Deposit Account No. 19-0036. For your convenience, enclosed as Exhibit 1 are duplicate copies of the following documents as filed on October 2, 1990:

- 1) PTO stamped-receipt postcard dated October 5, 1990;
- 2) Transmittal Letter;
- 3) Request for Refund in the amount of \$550.00;
- 4) Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(c)) - Small Business Concern; and
- 5) Postcard of filing the application on 8/27/90 along with SKGF check no. 4633 in the amount of \$1,100.00.

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Further, since the Notice of Allowance was issued after the Small Entity Status had been established, please refund one-half the issue fee of \$1,050 paid on February 6, 1991 for the above-referenced matter. The undersigned requests that the amount of \$525.00 be credited to Deposit Account No. 19-0036. For your reference, duplicate copies of the related documents are submitted herewith as Exhibit 2 and are enumerated below:

- 1) PTO stamped-receipt postcard dated February 6, 1991;
- 2) PTO Transmittal Letter;
- 3) SKGF check no. 08517 in the amount of \$1,065.00;
- 4) Issue Fee Transmittal Form (PTOL-85B).

Your prompt attention to this matter is respectfully requested. A duplicate copy of this Request is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX

Samuel L. Fox  
Attorney for Patentee  
Registration No. 30,353

Date:

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P14-08.MBM